## Promise

## Constraint

 Satisfaction
## Problems

Standa Živný (Oxford)
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January 2009

## January 2009



## January 2009



## January 2009



DIMACS-RUTCOR Workshop on Boolean and Pseudo-Boolean Functions in Memory of Peter L. Hammer
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Organizers:
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# Pseudo-Boolean optimization ${ }^{2}$ 

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## BOOLEAN FUNCTIONS <br> Theory, Algorithms, and Applications

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## Pseudo-Boolean optimiza

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## lanuary 2009

## BOOLEAN FUNCTIONS <br> Theory, Algorithms, and Applications

Yves Crama and Peter L. Hammer


## I. Examples

## 7-SAT

$\left(x_{1} \vee \bar{x}_{2} \vee x_{3} \vee \bar{x}_{4} \vee \bar{x}_{5} \vee x_{6} \vee x_{7}\right)$

## 7-SAT

$\left(x_{1} \vee \bar{x}_{2} \vee x_{3} \vee \bar{x}_{4} \vee \bar{x}_{5} \vee x_{6} \vee x_{7}\right)$

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## 7-SAT

$$
\left(x_{1} \vee \bar{x}_{2} \vee x_{3} \vee \bar{x}_{4} \vee \bar{x}_{5} \vee x_{6} \vee x_{7}\right)
$$

Thm: ( $\mathrm{I}, \mathrm{g}, \mathrm{k})$-SAT in P if $\frac{g}{k} \geq \frac{1}{2}$ and NP-hard otherwise. [Austrin-Guruswami-Håstad SICOMP'I7]

## 7-SAT

$$
\left(x_{1} \vee \bar{x}_{2} \vee x_{3} \vee \bar{x}_{4} \vee \bar{x}_{5} \vee x_{6} \vee x_{7}\right)
$$

Thm: (I,g,k)-SAT in P if $\frac{g}{k} \geq \frac{1}{2}$ and NP-hard otherwise. [Austrin-Guruswami-Håstad SICOMP $\left.{ }^{\prime} 17\right]$ NP-hardness of ( $1, g, 2 g+1$ )-SAT.

$$
(\mathrm{a}, \mathrm{~g}, \mathrm{k}) \equiv_{p}(\mathrm{a}+\mathrm{l}, \mathrm{~g}+\mathrm{l}, \mathrm{k}+\mathrm{l})
$$

## 7-SAT

$$
\left(x_{1} \vee \bar{x}_{2} \vee x_{3} \vee \bar{x}_{4} \vee \bar{x}_{5} \vee x_{6} \vee x_{7}\right)
$$

Thm: (I,g,k)-SAT in P if $\frac{g}{k} \geq \frac{1}{2}$ and NP-hard otherwise. [Austrin-Guruswami-Håstad SICOMP'। 7] NP-hardness of ( $1, g, 2 g+1$ )-SAT.

## I-in-3-SAT

## $\left(x_{1} \vee x_{2} \vee x_{3}\right) \wedge\left(x_{2} \vee x_{4} \vee x_{5}\right)$

## NAE-3-SAT

## $\left(x_{1} \vee x_{2} \vee x_{3}\right) \wedge\left(x_{2} \vee x_{4} \vee x_{5}\right)$

## I-in-3/NAE-3-SAT

## $\left(x_{1} \vee x_{2} \vee x_{3}\right) \wedge\left(x_{2} \vee x_{4} \vee x_{5}\right)$

## I-in-3/NAE-3-SAT

## $\left(x_{1} \vee x_{2} \vee x_{3}\right) \wedge\left(x_{2} \vee x_{4} \vee x_{5}\right)$

## I-in-3/NAE-3-SAT

## $\left(x_{1} \vee x_{2} \vee x_{3}\right) \wedge\left(x_{2} \vee x_{4} \vee x_{5}\right)$

Thm: I-in-3/NAE-SAT is in P. [Brakensiek-Guruswami SICOMP'2I]

## Graph Colouring



## Graph Colouring



## Graph Colouring



Find a $c$-colouring of a $k$-colourable graph.

## Graph Colouring



Find a $c$-colouring of a $k$-colourable graph.
Conjecture: NP-hard for every constant $c \geq k \geq 3$. [Garey-Johnson JACM'76]

## Hypergraph Colouring



## Hypergraph Colouring



Find a $c$-colouring of a $k$-colourable 3 -uniform hypergraph.

## Hypergraph Colouring



Find a $c$-colouring of a $k$-colourable 3 -uniform hypergraph.

Thm: NP-hard for every constant $c \geq k \geq 2$. [Dinur-Regev-Smyth Combinatorica'05]

LO Colouring

## LO Colouring



## LO Colouring



## LO Colouring



## LO Colouring



## LO Colouring



| 0 | 0 | 1 |
| :--- | :--- | :--- |
| 0 | 1 | 0 |
| 1 | 0 | 0 |

## LO Colouring



## LO Colouring



## LO Colouring



Find a $c$-LO-colouring of a $k$-LO-colourable 3 -uniform hypergraph.

## LO Colouring



Find a $c$-LO-colouring of a $k$-LO-colourable 3-uniform hypergraph.

Conjecture: NP-hard for every constant $c \geq k=2$. [Barto-Battistelli-Berg STACS'2I]
2. CSPs

## Constraint Satisfaction Problems

IN: set of variables, set of labels, set of constraints
OUT: assignment that satisfies the given constraints

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IN: set of variables, set of labels, set of constraints
OUT: assignment that satisfies the given constraints

## 3-Colour



## Constraint Satisfaction Problems

IN: set of variables, set of labels, set of constraints
OUT: assignment that satisfies the given constraints

$$
\begin{gathered}
\text { I-in-3-SAT } \\
\left(x_{1} \vee x_{2} \vee x_{5}\right) \\
\left(x_{2} \vee x_{3} \vee x_{7}\right) \\
\left(x_{1} \vee x_{2} \vee x_{5}\right)
\end{gathered}
$$

## 3-Colour



## Constraint Satisfaction Problems

IN: set of variables, set of labels, set of constraints
OUT: assignment that satisfies the given constraints
I-in-3-SAT
$\left(x_{1} \vee x_{2} \vee x_{5}\right)$
$\left(x_{2} \vee x_{3} \vee x_{7}\right)$
$\left(x_{1} \vee x_{2} \vee x_{5}\right)$

3-Colour


## Linear Equations

$$
\begin{aligned}
& x_{1}+x_{2}+x_{3}+x_{4}=1 \\
& x_{2}+x_{4}+x_{5}=0 \\
& x_{1}+x_{3}+x_{4}+x_{6}=2
\end{aligned}
$$

## Constraint Satisfaction Problems

IN: set of variables, set of labels, set of constraints
OUT: assignment that satisfies the given constraints

## Constraint Satisfaction Problems

IN: set of variables, set of labels, set of constraints
OUT: assignment that satisfies the given constraints

IN: two similar relational structures $\mathbb{A}$ and $\mathbb{B}$
OUT: homomorphism from $\mathbb{A}$ to $\mathbb{B}$

## 3-Colour


$\mathbb{B}$


## 3-Colour


$\mathbb{B}$


## CSPs

IN: two similar relational structures $\mathbb{A}$ and $\mathbb{B}$
OUT: homomorphism from $\mathbb{A}$ to $\mathbb{B}$

## CSDS

IN: two similar relational structures $\mathbb{A}$ and $\mathbb{B}$
OUT: homomorphism from $\mathbb{A}$ to $\mathbb{B}$
$\operatorname{CSP}(\mathbb{B})$

## CSPs

IN: two similar relational structures $\mathbb{A}$ and $\mathbb{B}$
OUT: homomorphism from $\mathbb{A}$ to $\mathbb{B}$
$\operatorname{CSP}(\mathbb{B})$
Boolean $\mathbb{B}$ [Schaefer STOC'78]
graph $\mathbb{B}$ [Hell-Nešetrĭ JCTB'90]
dichotomy conjecture [Feder-Vardi SICOMP'98]
any finite $\mathbb{B}$ [Bulatov FOCS' 1 , Zhuk JACM'20]

## CSPs

IN: two similar relational structures $\mathbb{A}$ and $\mathbb{B}$
OUT: homomorphism from $\mathbb{A}$ to $\mathbb{B}$

## Max-Cut



## CSPs

IN: two similar relational structures $\mathbb{A}$ and $\mathbb{B}$
OUT: homomorphism from $\mathbb{A}$ to $\mathbb{B}$

## Max-Cut



## UGC



## CSPs

IN: two similar relational structures $\mathbb{A}$ and $\mathbb{B}$
OUT: homomorphism from $\mathbb{A}$ to $\mathbb{B}$
( $\mathrm{s}, \mathrm{t}$ )-Min-Cut


## CSPs

IN: two similar relational structures $\mathbb{A}$ and $\mathbb{B}$
OUT: homomorphism from $\mathbb{A}$ to $\mathbb{B}$


## How to Relax


"I can't find an efficient algorithm, but neither can all these famous people."

## How to Relax


"I can't find an efficient algorithm, but neither can all these famous people."
Satisfy only a fraction of the constraints!
Satisfy a relaxed version of the constraints!

## Approximate Graph Colouring



Find a $c$-colouring of a $k$-colourable graph $(c \geq k \geq 3)$.

## Promise CSPs

```
IN: set of variables, set of labels,
set of strict and weak constraints
PROMISE:
OUT:
exists assignment using strict constraints
assignment using weak constraints
```


## Promise CSPs

## IN: <br> PROMISE:

set of variables, set of labels, set of strict and weak constraints exists assignment using strict constraints OUT: assignment using weak constraints


## Promise CSPs

## IN: set of variables, set of labels, set of strict and weak constraints <br> PROMISE: exists assignment using strict constraints OUT: assignment using weak constraints

I-in-3-SAT

$$
(x, y, z)
$$

$(1,0,0)$
(0,1,0)
$(0,0,1)$

## NAE-3-SAT

$(1,0,0)$
(0,1,0)
$(0,0,1)$
$(1,1,0)$
(1,0,1)
$(0,1,1)$

## PCSP(A, $\mathbb{B})$

```
IN:
set of variables, set of labels,
set of strict and weak constraints
PROMISE:
OUT:
exists assignment using strict constraints
assignment using weak constraints
```


## PCSP(A, $\mathbb{B})$

```
IN:
    set of variables, set of labels,
    set of strict and weak constraints
PROMISE:
OUT:
exists assignment using strict constraints assignment using weak constraints
```


## PCSP(A, $\mathbb{B})$

## IN:

set of variables, set of labels, set of strict and weak constraints

## PROMISE:

 OUT: exists assignment using strict constraints assignment using weak constraints
## IN:

PROMISE:
exists homomorphism from $\mathbb{\square}$ to $\mathbb{A}$
OUT:
homomorphism from $\mathbb{\square}$ to $\mathbb{B}$

## PCSP(A, $\mathbb{B})$

## IN: <br> set of variables, set of labels, set of strict and weak constraints

PROMISE: OUT: exists assignment using strict constraints assignment using weak constraints
search

## IN:

PROMISE: exists homomorphism from』 to $\mathbb{A}$
OUT:
homomorphism from $\mathbb{\square}$ to $\mathbb{B}$

## PCSP(A, $\mathbb{B})$

| IN: | $\square$ |
| :--- | :--- |
| PROMISE: | exists homomorphism from $\llbracket$ to $\mathbb{A}$ |
| OUT: | homomorphism from $\llbracket$ to $\mathbb{B}$ |

## PCSP(A, $\mathbb{B})$

## decision

## IN:

OUT YES: OUT NO: if there is no homomorphism from $\sqrt{ }$ to $\mathbb{B}$

| IN: | $\square$ |
| :--- | :--- |
| PROMISE: | exists homomorphism from $\mathbb{\square}$ to $\mathbb{A}$ |
| OUT: | homomorphism from $\mathbb{\square}$ to $\mathbb{B}$ |

## PCSP(A, $\mathbb{B})$

## decision

IN:
OUT YES: OUT NO:

## ]



IN:
PROMISE: exists homomorphism from $\mathbb{I}$ to $\mathbb{A}$
OUT:
homomorphism from $\mathbb{\square}$ to $\mathbb{B}$

## $\operatorname{PCSP}(\mathbb{A}, \mathbb{B})$

- $\operatorname{PCSP}(A, A)=\operatorname{CSP}(A)$
- $\operatorname{PCSP}(\mathbb{A}, \mathbb{B})$ in $P$ if $\operatorname{CSP}(\mathbb{A})$ in $P$ or $\operatorname{CSP}(\mathbb{B})$ in $P$
- PCSP(A, $\mathbb{B})$ :
- aproximability of CSP(A) on satisfiable instances
- CSP( $\mathbb{B}$ ) with restricted instances

3. Results

## SetSAT

Thm: ( $\mathrm{I}, \mathrm{g}, \mathrm{k})$-SAT in P if $\frac{g}{k} \geq \frac{1}{2}$ and NP-hard otherwise. [Austrin-Guruswami-Håstad SICOMP'I 7]

## SetSAT

Thm: (I,g,k)-SAT in P if $\frac{g}{k} \geq \frac{1}{2}$ and NP-hard otherwise. [Austrin-Guruswami-Håstad SICOMP'I7]

Thm: ( $\mathrm{I}, \mathrm{g}, \mathrm{k}$ )-SetSAT in P if $\frac{g}{k} \geq \frac{s}{s+1}$ and NP-hard otherwise. [Brandts-Wrochna-Živný ACM ToCT'2।]

## LO Colouring



## LO Colouring


unique maximum

## LO Colouring


unique maximum
Problem: Complexity of $\operatorname{PCSP}\left(\mathrm{LO}_{2}, \mathrm{LO}_{c}\right)$ ? [Barto-Batisistlli-Berg STACS'21]

## LO Colouring


unique maximum

## LO Colouring


unique maximum

Thm:

- $\operatorname{PCSP}\left(\mathrm{LO}_{k}^{r}, \mathrm{LO}_{c}^{r}\right)$ is NP-hard for all $2 \leq k \leq c$ and $r \geq c-k+4$.


## LO Colouring


unique maximum

Thm:

- $\operatorname{PCSP}\left(\mathrm{LO}_{k}^{r}, \mathrm{LO}_{c}^{r}\right)$ is NP-hard for all $2 \leq k \leq c$ and $r \geq c-k+4$.
- $\operatorname{PCSP}\left(\mathrm{LO}_{2}^{3}, \mathrm{LO}_{\ell}^{3}\right)$ in P for $\ell=O(\sqrt[3]{n \log \log n / \log n})$.
$\operatorname{PCSP}\left(K_{3}, K_{5}\right)$


## $\operatorname{PCSP}\left(K_{3}, K_{5}\right)$

Thm: $\operatorname{PCSP}\left(K_{3}, K_{5}\right)$ is NP -hard.

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Thm: $\operatorname{PCSP}\left(K_{3}, K_{5}\right)$ is NP -hard.
$\operatorname{PCSP}\left(\mathrm{K}_{\mathrm{k}}, \mathrm{K}_{\mathrm{c}}\right)$ with $c=k$.
$\operatorname{PCSP}\left(\mathrm{K}_{\mathrm{k}}, \mathrm{K}_{\mathrm{c}}\right)$ with $c=k+2\lfloor k / 3\rfloor-1$.
$\operatorname{PCSP}\left(K_{k}, K_{c}\right)$ with $c=2 k-5$ and $k \geq 6$.
$\operatorname{PCSP}\left(K_{k}, K_{c}\right)$ with $c=2 k-2$.
$\operatorname{PCSP}\left(K_{k}, K_{c}\right)$ with $c=2 k-1$.
[Barto-Bulín-Krokhin-Opršal JACM'2 I]
[Karp CCC'72]
[Khanna-Linial-Safra Comb.'00]
[Garey-JohsonJ JACM'76]
[Brakensiek-Guruswami CCC'16]
[Barto-Bulín-Krokhin-Opršal JACM'2 I]

## $\operatorname{PCSP}\left(K_{3}, K_{5}\right)$

Thm: $\operatorname{PCSP}\left(K_{3}, K_{5}\right)$ is NP -hard.
$\mathrm{K}_{3}, \mathrm{~K}_{3}-\operatorname{PCSP}\left(\mathrm{K}_{\mathrm{k}}, \mathrm{K}_{\mathrm{c}}\right)$ with $c=k$.
$\operatorname{PCSP}\left(\mathrm{K}_{\mathrm{k}}, \mathrm{K}_{\mathrm{c}}\right)$ with $c=k+2\lfloor k / 3\rfloor-1$.
$\operatorname{PCSP}\left(K_{k}, K_{c}\right)$ with $c=2 k-5$ and $k \geq 6$.
$\operatorname{PCSP}\left(K_{k}, K_{c}\right)$ with $c=2 k-2$.
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$\operatorname{PCSP}\left(\mathrm{K}_{\mathrm{k}}, \mathrm{K}_{\mathrm{c}}\right)$ with $c=k+2\lfloor k / 3\rfloor-1$.
$\mathrm{K}_{3}, \mathrm{~K}_{4} \quad \operatorname{PCSP}\left(\mathrm{~K}_{\mathrm{k}}, \mathrm{K}_{\mathrm{c}}\right)$ with $c=2 k-5$ and $k \geq 6$.
$\operatorname{PCSP}\left(K_{k}, K_{c}\right)$ with $c=2 k-2$.
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Thm: $\operatorname{PCSP}\left(K_{3}, K_{5}\right)$ is NP -hard.
$\mathrm{K}_{3}, \mathrm{~K}_{3} \quad \operatorname{PCSP}\left(\mathrm{~K}_{\mathrm{k}}, \mathrm{K}_{\mathrm{c}}\right)$ with $c=k$.
$\operatorname{PCSP}\left(\mathrm{K}_{\mathrm{k}}, \mathrm{K}_{\mathrm{c}}\right)$ with $c=k+2\lfloor k / 3\rfloor-1$.
$\mathrm{K}_{3}, \mathrm{~K}_{4} \quad \operatorname{PCSP}\left(\mathrm{~K}_{\mathrm{k}}, \mathrm{K}_{\mathrm{c}}\right)$ with $c=2 k-5$ and $k \geq 6$.
$\operatorname{PCSP}\left(K_{k}, K_{c}\right)$ with $c=2 k-2$.
$\mathrm{K}_{3}, \mathrm{~K}_{5}-\operatorname{PCSP}\left(\mathrm{K}_{k}, \mathrm{~K}_{c}\right)$ with $c=2 k-1$.
[Barto-Bulín-Krokhin-Opršal JACM'2 I]
[Karp CCC'72]
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## $\operatorname{PCSP}\left(K_{3}, K_{5}\right)$

Thm: $\operatorname{PCSP}\left(K_{3}, K_{5}\right)$ is NP -hard.
[Barto-Bulín-Krokhin-Opršal JACM'2 I]
$\mathrm{K}_{3}, \mathrm{~K}_{3}-\operatorname{PCSP}\left(\mathrm{K}_{\mathrm{k}}, \mathrm{K}_{\mathrm{c}}\right)$ with $c=k$.
[Karp CCC'72]
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$\mathrm{K}_{3}, \mathrm{~K}_{4} \quad \operatorname{PCSP}\left(\mathrm{~K}_{\mathrm{k}}, \mathrm{K}_{\mathrm{c}}\right)$ with $c=2 k-5$ and $k \geq 6$.
$\operatorname{PCSP}\left(\mathrm{K}_{\mathrm{k}}, \mathrm{K}_{\mathrm{c}}\right)$ with $c=2 k-2$.
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Thm: $\quad \operatorname{PCSP}\left(\mathrm{K}_{\mathrm{k}}, \mathrm{K}_{\mathrm{c}}\right)$ is NP-hard with $\mathrm{c} \approx 2^{k}$ and $k \geq 4$. [Krokhin-Opršal-Wrochna-Živný SICOMP'23]

IP
$\operatorname{PCSP}(\mathbb{A}, \mathbb{B})$ instance $\mathbb{\square}$
$\operatorname{PCSP}(\mathbb{A}, \mathbb{B})$ instance $\mathbb{\square}$

$$
\lambda_{\mathbf{x}, R}(\mathbf{a}) \in\{0,1\} \quad R \in \sigma, \mathbf{x} \in R^{0}
$$

## IP

$\operatorname{PCSP}(\mathbb{A}, \mathbb{B})$ instance $\mathbb{\square}$

$$
\sum_{\mathbf{a} \in R^{\mathbb{A}}} \lambda_{\mathbf{x}, R}(\mathbf{a})=1 \quad R \in \sigma, \mathbf{x} \in R^{0}
$$

$$
\lambda_{\mathbf{x}, R}(\mathbf{a}) \in\{0,1\}
$$

$R \in \sigma, \mathbf{x} \in R^{0}$

## IP

## $\operatorname{PCSP}(\mathbb{A}, \mathbb{B})$ instance $\mathbb{\square}$

$$
\begin{array}{rr}
\sum_{\mathbf{a} \in R^{\mathbb{A}}} \lambda_{\mathbf{x}, R}(\mathbf{a})=1 & R \in \sigma, \mathbf{x} \in R^{0} \\
\sum_{\mathbf{a} \in R^{\mathrm{A}}, a_{i}=a} \lambda_{\mathbf{x}, R}(\mathbf{a})=\lambda_{x_{i}, R_{u}}(a) & a \in A, i \in[\operatorname{ar}(R)] \\
\lambda_{\mathbf{x}, R}(\mathbf{a}) \in\{0,1\} & R \in \sigma, \mathbf{x} \in R^{0}
\end{array}
$$

## BLP

$\operatorname{PCSP}(\mathbb{A}, \mathbb{B})$ instance 』

$$
\begin{array}{rr}
\sum_{\mathbf{a} \in R^{\mathbb{A}}} \lambda_{\mathbf{x}, R}(\mathbf{a})=1 & R \in \sigma, \mathbf{x} \in R^{0} \\
\sum_{\mathbf{a} \in R^{\mathrm{A}}, a_{i}=a} \lambda_{\mathbf{x}, R}(\mathbf{a})=\lambda_{x_{i}, R_{u}}(a) & a \in A, i \in[\operatorname{ar}(R)] \\
\lambda_{\mathbf{x}, R}(\mathbf{a}) \in[0,1] & R \in \sigma, \mathbf{x} \in R^{0}
\end{array}
$$

## AIP

$\operatorname{PCSP}(\mathbb{A}, \mathbb{B})$ instance $\mathbb{A}$

$$
\begin{array}{cc}
\sum_{\mathbf{a} \in R^{\mathbb{A}}} \lambda_{\mathbf{x}, R}(\mathbf{a})=1 & R \in \sigma, \mathbf{x} \in R^{0} \\
\sum_{\mathbf{a} \in R^{\mathrm{A}}, a_{i}=a} \lambda_{\mathbf{x}, R}(\mathbf{a})=\lambda_{x_{i}, R_{u}}(a) & a \in A, i \in[\operatorname{ar}(R)] \\
\lambda_{\mathbf{x}, R}(\mathbf{a}) \in \mathbb{Z} & R \in \sigma, \mathbf{x} \in R^{0}
\end{array}
$$

## BA

Run BLP, ignore assignments getting 0 , run AIP.

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Thm: BA solves all Boolean CSPs. [Brakensiek-Guruswami-Wrochna-Živny SICOMP’20]

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Problem: Power of BÁ?

## BA

Run BLP, ignore assignments getting 0 , run AIP.

Thm: BA solves all Boolean CSPs. [Brakensiek-Guruswami-Wrochna-Živny SICOMP’20]
Problem: Power of BÁ?

Thm:
AGC not solved by $B A^{k}$.
[Ciardo-Živný STOC'23]

