Knowledge	representation	languages

CNF minimization

Horn minimization

Hypergraph minimization

# **Boolean Minimization**

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#### Knowledge representation languages

There are many ways in which Boolean function can be represented:

- Lists of vectors (truth table, set of true vectors (models))
- Boolean formulas (general formula, CNF, DNF)
- Decision diagrams (BDD, FBDD, OBDD)
- Negation normal forms (NNF, DNNF, d-NNF)

#### Knowledge representation preprocessing

- 1) preprocess input done **offline** and only **once**, so it is OK if this step is (very) time consuming
- 2) use the result to answer queries (preferably by running a dedicated poly-time algorithm) done **online** or **repeatedly**, should be efficient
- There are two main types of such preprocessing:
  - Knowledge Compression
  - Knowledge Compilation

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# Knowledge Compression = Boolean Minimization

**Input:** representation F of Boolean function f in language L **Output:** representation G of f also in L but smaller than F**Examples:** 

- given circuit F design a logically equivalent circuit G with fewer gates
- given CNF F construct a logically equivalent CNF G with fewer clauses

## **Knowledge Compilation**

**Input:** representation F of a Boolean function f in language L **Output:** representation G of f in some language L' which is better suited for the intended use (expected queries) **Examples:** 

- given CNF F construct a logically equivalent OBDD G
- given NNF F construct a logically equivalent DNF G

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#### **CNF** minimization - decision problem

**Input:** CNF *F* and  $k \in \mathbb{N}$ **Question:**  $\exists$  CNF *G* :  $|G| \le k$  and  $G \equiv F$ How to measure CNF size: # of clauses (C) or total # of literals (L)

#### Tractable classes of CNFs

Class of CNFs is tractable if

- SAT is poly-time solvable in the class
- the class is closed under conditioning

Equivalence can be tested in poly-time for tractable classes, so for these classes

• SAT is in  $P = \Sigma_0^p$ 

• minimization is in  $NP = \Sigma_1^p$  and frequently it is also NP-hard

For many tractable classes (e.g. Horn CNFs) the gap between the complexity of SAT and BM is one level in the polynomial hierarchy.

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# General CNFs

Equivalence testing is co-NP-hard and CNF minimization is clearly in  $\Sigma_2^p$   $(\exists G : |G| \le k \text{ and } \forall x : G(x) = F(x))$ . In fact

- SAT is  $NP complete = \Sigma_1^p complete$
- minimization is in  $\Sigma_2^{p}-\textit{complete}$  [Umans 2001] both for (C) and (L) measures

For general CNFs the gap between the complexity of SAT and BM is also one level in the polynomial hierarchy.

## Some outliers

For the class of matched CNFs

• SAT is in P (in fact trivial)

• minimization is in  $\Sigma_2^{p} - complete$  [Čepek, Gurský, Kučera 2014]

The complexity gap for matched CNFs is two levels in the polynomial hierarchy.

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#### CNF minimization - optimization problem

**Input:** CNF *F* of a Boolean function *f* **Output:** CNF *G* of *f* which is **smaller** than *F* The decision problem is intractable  $\Rightarrow$  look for approximation algorithms or heuristics. In the latter case, nontrivial lower bounds for the size of CNFs of the given function are needed to judge the quality of output.

#### Inapproximability results

- BM is inapproximable within a factor of 2<sup>log<sup>1-e</sup>(n)</sup> under a standard complexity-theoretic assumption
  [Bhattacharya, DasGupta, Mubayi, Turán 2010]
- BM is inapproximable within a factor of  $2^{O(\log^{1-o(1)}n)}$  if  $P \neq NP$ [Boros and Gruber 2012]
- both results hold already for a subclass of Horn CNFs
- when approximation algorithms provably fail, heuristics is all that remains (and lower bounds are needed)

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#### Heuristic minimization

A minimization algorithm based on heuristic decomposition technique [Boros, Čepek, Kučera 2013] is based on exclusive sets of implicates introduced in [Boros, Čepek, Kogan, Kučera 2010]

#### **Exclusive sets of implicates**

Let f be a Boolean function and X a set of implicates of f. Then X is an **exclusive** set of implicates if for every pair of resolvable implicates C, D

 $Resolvent(C, D) \in X \Rightarrow (C \in X \text{ and } D \in X)$ 

- Both an intersection and a union of exclusive sets is again an exclusive set.
- If F ≡ G are two CNFs representing f and X is an exclusive set of implicates of f then (F ∩ X) ≡ (G ∩ X) and the corresponding subfunction is called an X-component of f.
- Exclusive components can be minimized independently of the rest of the input CNF which justifies a decomposition approach.

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#### **Essential prime implicates**

A prime implicate of f is **essential** if it appears in every prime CNF of f. Trivial lower bound on the (C) measure: # of essential prime implicates. This concept was generalized in [Boros, Čepek, Kogan, Kučera 2010]

#### **Essential sets of implicates**

Let f be a Boolean function and X a set of implicates of f. Then X is an **essential** set of implicates if for every pair of resolvable implicates C, D

 $Resolvent(C,D) \in X \Rightarrow (C \in X \text{ or } D \in X)$ 

- F is a CNF of  $f \Leftrightarrow (F \cap X) \neq \emptyset$  for every nonempty essential set X.
- Pairwise disjoint essential sets  $\Rightarrow$  lower bound on the (C) measure
- ess(f) = max number of pairwise disjoint essential sets of f
- cnf(f) = min number of clauses in a CNF representation of f
- Weak duality lower bound:  $ess(f) \leq cnf(f)$
- This bound is not tight [Čepek, Kučera, Savický 2012] and in fact the gap can be very large [Hellerstein, Kletenik 2013]

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#### **Coverable classes of CNFs**

A class Z of CNFs is called **coverable** if ess(f) = cnf(f) for every function f representable by a CNF from Z [Čepek, Kučera, Savický 2012]

- Strong duality lower bound for coverable classes.
- All classes of CNFs for which a polynomial time minimization algorithm for the (C) measure exists (that I know of) are coverable.

#### Conjecture

Class Z of CNFs is coverable  $\Rightarrow$  Z admits poly-time exact minimization for the (C) measure.

### Horn CNF minimization - hardness results for the (C) measure

- *NP*-hard for general Horn CNFs (no degree bound) [Ausiello, D'Atri, Sacca 1986] unsatisfactory proof
- NP-hard for cubic Horn CNFs [Boros, Čepek 1994] proof has an error
- NP-hard for cubic Horn CNFs [Boros, Čepek, Kučera 2013]
- NP-hard for hydra CNFs (subclass of Horn 3-CNFs) [Kučera 2017]

## Horn CNF minimization - hardness results for the (L) measure

- NP-hard for general Horn CNFs (no degree bound) [Maier 1980]
- reproved later [Ausiello, D'Atri, Sacca 1986] and [Hammer, Kogan 1993]
- NP-hard for Horn CNFs of degree 7 [Čepek 1995]
- NP-hard for Horn CNFs of degree 5 [Čepek,Kučera 2008]
- NP-hard for cubic Horn CNFs [Boros, Čepek, Kučera 2013]
- NP-hard for hydra CNFs (subclass of Horn 3-CNFs) [Kučera 2017]

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# Horn CNF minimization - classes with poly-time algorithms

- acyclic and quasi-acyclic Horn CNFs [Hammer, Kogan 1993]
- CQ-Horn CNFs (superclass of the previous two classes) [Boros, Čepek, Kogan, Kučera 2009]

# Horn CNF minimization - poly-time approximation algorithms

- O(n) factor approximation algorithm for Horn CNFs for (C) [Hammer, Kogan 1993]
- O(log(n))-factor approximation algorithms for key Horn CNFs [Bercsi, Boros, Čepek, Kučera, Makino 2021]
- key Horn CNFs are a superclass of hydra CNFs
- two different algorithms, one for (C) and one for (L)

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#### **Representations of pure Horn functions**

• pure Horn CNF

$$(\overline{a} \lor b) \land (\overline{b} \lor a) \land (\overline{a} \lor \overline{c} \lor d) \land (\overline{a} \lor \overline{c} \lor e)$$

directed hypergraph

$$(\{a\}, b), (\{b\}, a), (\{a, c\}, d), (\{a, c\}, e)$$

• implicational (closure) system:

$$a \longrightarrow b, b \longrightarrow a, ac \longrightarrow d, ac \longrightarrow e$$

### Adjacency list representations

- directed hypergraph:  $\{a\}$  : b,  $\{b\}$  : a,  $\{a, c\}$  : d, e
- implicational (closure) system:  $a \longrightarrow b, b \longrightarrow a, ac \longrightarrow de$

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#### Measures for the size of adjacency lists

The paper [Ausiello, D'Atri, Sacca 1986] introduced 5 different measures

- # of source sets (# lists, # of rules)
- **2** # of hyperarcs (equivalent to (C) for CNFs)
- **(a)** # of hyperarcs + # of source sets
- source area = sum of sizes of source sets
- **(**) source area + # of hyperarcs

All measures except of the first one are NP-hard to minimize.

The number of source sets can be minimized in polynomial time and this fact was rediscovered many times in different contexts.

# Poly-time algorithms minimizing the number of source sets

- database context (minimum covers in relational DB) [Maier 1980]
- closure systems context [Guigues, Duquenne 1986]
- directed hypergraph context [Ausiello, D'Atri, Sacca 1986]

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#### Common properties of these algorithms

All three algorithms perform the following three steps:

- Right saturate all rules
- 2 Left saturate all rules
- 3 Remove redundant rules

The output is a UNIQUE adjacency list representation (called a GD-base in closure systems context)

#### **Proofs of correctness**

All proofs are very technical, messy, and hard to read, all rely on the uniqueness of the output.

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# Why is # of source sets (bodies) easy to minimize?

- bess(f) = max number of pairwise BODY-disjoint essential sets of f
- body(f) = min number of bodies in a CNF representation of f
- Strong duality: bess(f) = body(f) holds for all pure Horn functions [Boros, Čepek, Makino 2017]

# Algorithmic consequences of strong duality

- Left saturation step can be skipped
- Output guaranteed to be minimal
- Uniqueness of the output is lost
- Asymptotic complexity stays the same
- Running time of course decreases
- The minimization algorithm is conceptually much simpler

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# THANK YOU FOR YOUR ATTENTION

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